



The  
Federal Government



# Exclusion criteria for IKI projects

Valid from 15.01.2023 (updated in May 2023)

The following projects and activities are not eligible for IKI funding:

- 1. Violation of national or international rules on phase-outs or prohibitions or an international ban:**
  - i. certain pharmaceuticals in line with [WHO 'Pharmaceuticals: Restrictions in Use and Availability'](#);
  - ii. toxic substances like mercury in line with the [Minamata Convention](#),
  - iii. protected animals and animal products and plants and plant products in line with [CITES](#);
  - iv. destructive fishing methods<sup>1</sup>;
  - v. cross-border trade in waste in line with the [Basel Convention](#).
- 2. Activities which use forced labour or harmful child labour in line with the ILO core labour standards.**
- 3. Manufacturing, sale or purchase of arms or ammunition.**
- 4. Activities involving forced eviction which violate the [UN Basic Principles and Guidelines on Development-Based Evictions and Displacement](#).**
- 5. Activities which impact directly on land belonging to indigenous peoples or used by them on the basis of customary law without appropriate consultations corresponding to international law with the affected indigenous peoples.**
- 6. Manufacturing, sale or use of racist, ableist, sexist, homophobic or transphobic or other antidemocratic media.**
- 7. Activities which are prohibited by legislation of the country of implementation or by international conventions to protect resources, biodiversity or cultural heritage.**
- 8. Activities which promote the prospecting for, exploration of and exploitation of coal, oil, natural gas or radioactive resources and their use to generate energy and their respective infrastructure.<sup>2</sup>**
- 9. Activities which contribute directly to the destruction or substantial impairment of areas or ecosystems which merit particular protection (critical habitats and high conservation value areas) or which require offsetting measures due to their negative impact on biodiversity and ecosystem performance.**
- 10. Activities contributing directly to the introduction or spread of invasive species.<sup>3</sup>**
- 11. Introduction of neophytes or neozoa which are not yet found in the region of the project.<sup>4</sup>**

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1 High seas fishing using dynamite, drift nets longer than 2.5 km or the use of drift nets in a way that destroys ecosystems or renders essential ecosystem functions impossible (FAO/UNEP [Expert Meeting on Impacts of Destructive Fishing Practices](#)).

2 This also applies to transition energy. In well-founded cases, an exception can be made for the funding of existing energy infrastructure which contributes to the conversion to zero-carbon energy carriers or to significant reductions in emissions.

3 Inter alia in line with the database of invasive species of the [IUCN Species Survival Commission](#).

4 E.g. in line with the Global Biodiversity Information Facility database [www.gbif.org](http://www.gbif.org).

12. Activities which contribute directly to the **deforestation of primary forests**.
13. Manufacturing, sale or use of **living modified organisms**.<sup>5</sup>
14. Manufacturing, sale or use of **active pesticide substances** or their formulation covered by [WHO criteria 1-8](#), because they are categorised as acutely toxic, chronically toxic, carcinogenic, mutagenic, or toxic for reproduction or are listed by UN conventions<sup>6</sup> and of glyphosate or other total herbicides with a similar effect, and of fipronil, clothianidin, imidacloprid and thiamethoxam when used on open land.<sup>7</sup>
15. Activities which require **animal testing** and do not comply with [Directive 2010/63/EU](#) on the protection of animals used for scientific purposes.
16. Production, sale or use of **radioactive material**.<sup>8</sup>
17. Manufacturing, sale or use of **asbestos**.
18. Activities which promote **prostitution, pornography** or controversial forms of **gambling**.

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<sup>5</sup> Living modified organisms (LMOs) are also described as genetically modified organisms (GMOs).

<sup>6</sup> [Rotterdam Convention](#) (1998), [Stockholm Convention](#) (2001)

<sup>7</sup> Projects which demonstrably aim to reduce or stop the manufacturing, sale or use of these pesticides are excepted from this.

<sup>8</sup> Medical devices, quality control devices, uses in which the radioactive source is insignificant or appropriately shielded, are excepted from this.