



**INTERNATIONAL  
CLIMATE INITIATIVE (IKI)**

# **IKI COUNTRY SPECIFIC SELECTION PROCEDURE PERU 2020 ONLINE SEMINAR**

**Berlin and Lima, 03 February 2021**



**PERÚ**

**Ministerio  
del Ambiente**



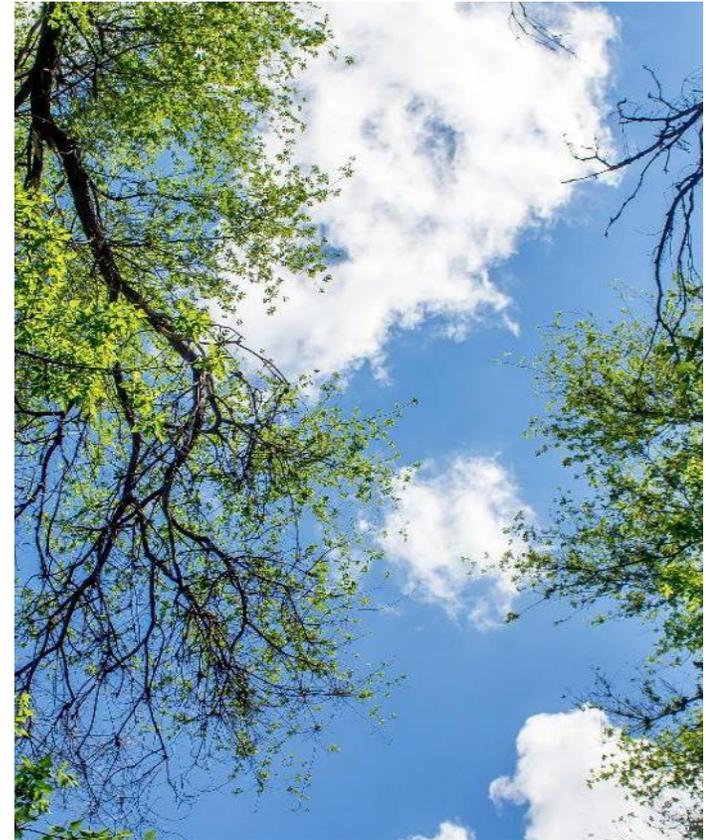
**Federal Ministry  
for the Environment, Nature Conservation  
and Nuclear Safety**

# Introduction

## IKI Country Specific Selection Procedure Peru 2020 - Online Seminar

### Welcome note

- Netiquette:
  - All cameras and microphones switched off
  - Log in with your full names and organisation
  - Q&A icon for content questions
  - Chat icon for technical questions
  - Online Seminar will be recorded
- Seminar flow



# AGENDA

- **The IKI and the country specific selection procedures (country calls)**
- **Preparation of a successful IKI Outline**
  - Context, local involvement and project consortium
  - Project vision and impact logic
- **Selection Procedure**
  - Prerequisites and Requirements
  - IKI Outline Form
  - The two-stage selection procedure
- **Country call Peru**
  - Peru's climate and biodiversity policy context
  - Two funding priorities
- **Q & A Session**



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# OPENING STATEMENTS

## ONLINE SEMINAR COUNTRY SPECIFIC SELECTION PROCEDURE PERU 2020

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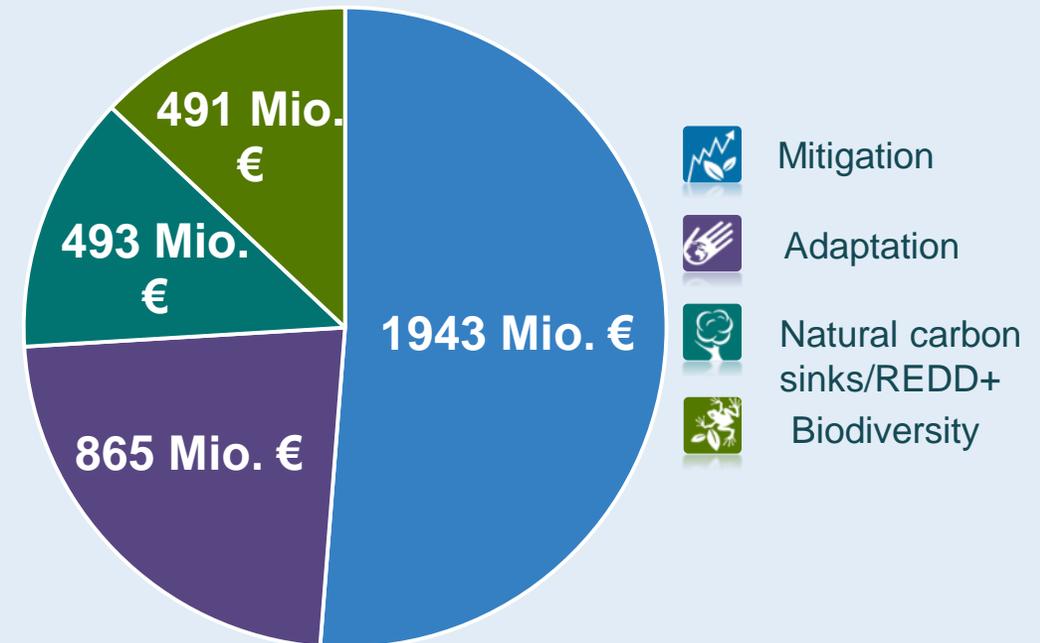
# IKI COUNTRY SPECIFIC SELECTION PROCEDURE

# IKI Country specific selection procedure

## Overview and history

- Since 2008, IKI has been financing **climate and biodiversity** projects in developing, emerging, and transition countries
- Project selection through **idea competitions** for the thematic and country calls across **four funding areas**
- **More than 730 projects** with a current funding volume of approx. **EUR 3.9 bn\***

\*including administrative expenses



Distribution of IKI funding volume  
in Mio. EUR (2008-2019)

# IKI Project funding

Selection approach and selection procedures

## Bilateral Approach

Country Specific Selection Procedures



## Thematic Approach

Thematic Selection Procedures

Medium Grants



IKI Small Grants



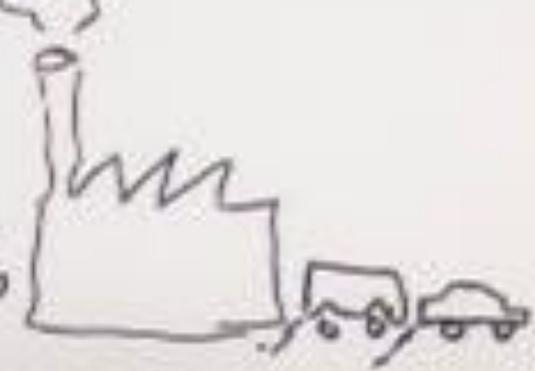
Expectations

Experiences sharing between cities  
on best practices

Get best tools to monitor climate actions

Community engagement and involvement on  
climate actions

Citizen awareness on climate issues and  
resilience/adaptation strategies



CITY OF ACCRA  
Expectation: Open to Adopting  
FOR ACCRA'S BEST



# PREPARATION OF A SUCCESSFUL IKI OUTLINE

# How to write a good IKI outline from the perspective of BMU and IKI-Secretariat

## Context

- Linkage to political agendas and strategies of target countries
- Strong involvement of political partners
- Highlight possible cooperation/ synergies with other [IKI] projects where possible, but also differences
- Avoid duplications with similar projects in project region

# How to write a good IKI outline from the perspective of BMU and IKI Secretariat

## Local involvement

- Direct involvement of national and local actors in the consortium and implementation as well as relevant networks and platforms
- Clear indication regarding the target of 50% funding implemented through local actors

## Project consortium

- References/ experience of all partners are suitable and complementary
- Competences of all partners are specified and considered in the distribution of the work packages
- Budget allocation reflects the distribution of work packages among the consortium partners

# How to write a good IKI outline from the perspective of BMU and IKI-Secretariat

## Project vision

- Consistency with the IKI funding priority
- Holistic solution approaches
- Innovative approach
- Transformative character
- Include considerations on upscaling strategy and sustainability from the beginning

## Impact logic

- Specific, plausible and realistic approach
- Consistent presentation of impact logic in the results chain theory and the logical framework
- General structure of work packages and description of how activities build on each other
- Include concrete implementation activities as examples

A Peruvian flag is flying on a wooden pole in the foreground. The background features a dramatic mountain landscape with snow-capped peaks and a clear blue sky with scattered white clouds. The terrain is rugged and rocky, with some patches of snow and ice.

# SELECTION PROCEDURE

# Prerequisites and Requirements

1. Funding volume from EUR **12 Mio – 15 Mio** per project / per funding priority
2. Funding period **between 5-7 years**.
3. Project content must be **consistent with one of the two funding priorities**.
4. Application in **consortium**, i.e. at least two organisations
5. Submission **exclusively in English via IKI Online Platform** (Deadline 07 April 2021; 23:59 CEST) with complete documentation
6. **Criteria overview:** funding information Annex I

## Eligible Organisations:

Non-governmental organisations, commercial enterprises, universities, research institutions, international intergovernmental organisations and institutions (such as development banks or United Nations organisations and programmes). **Peruvian government institutions** or government institutions from other countries **are not eligible** to apply for IKI funding/receive IKI funding. (More detailed information: funding information chapter 6)

# IKI Outline Form

## Country specific selection procedure Peru 2020

Important note:

- **HERE** please find a word template you may use to facilitate the elaboration of your project outline. However, please note that only outlines submitted via the online form in English are accepted.
- **HERE** please find the template for the preparation of your concept note including a log frame for the OECD results chain. Please upload your concept note as pdf using the upload button in section 3 of the outline form.

The IKI-Secretariat

< Back to dashboard

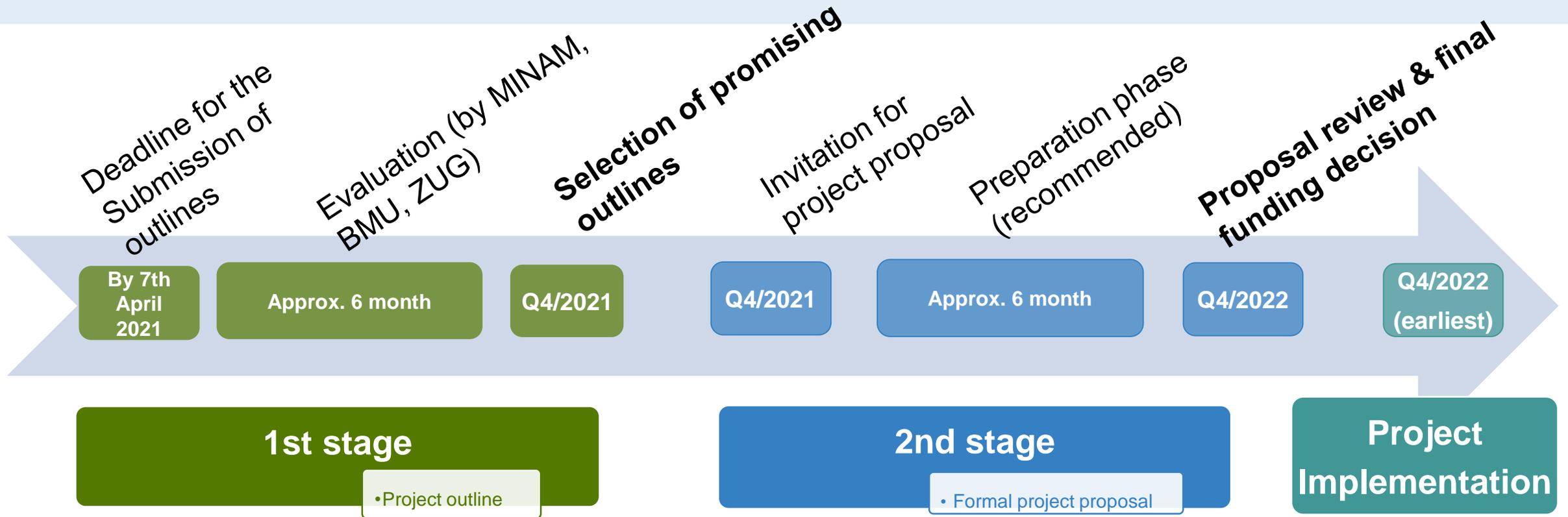
+ Add new project outline

Outline consists of:

- 1) Online outline form
- 2) Concept note (including OECD results chain) to be uploaded
- 3) Organisational chart of consortium to be uploaded

1	Project data and lead implementing organisation
2	Brief description
3	Project concept
4	Requirements for project
5	Project alignment
6	Budget (in EUR)
7	Miscellaneous
A1	Consortium
A2	References

# The two-stage selection procedure





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# IKI COUNTRY CALL FOR PERU

3 February 2021

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**NATIONAL PRIORITIES  
UNDER COVID-19  
CONTEXT**

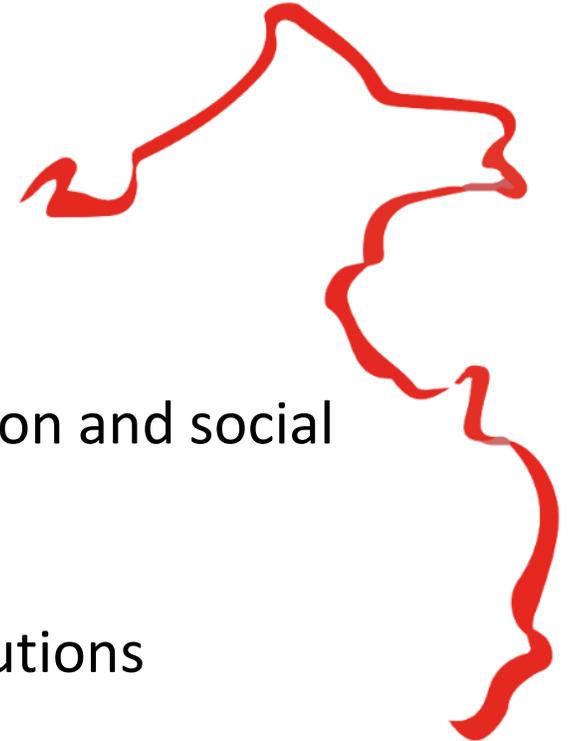


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# NATIONAL PRIORITIES UNDER COVID-19 CONTEXT

- Address Covid-19 pandemic
- Zero Hunger: food security, anemia, malnutrition
- Economic recovery: employment, economic diversification and social inclusion
- Approaches: Green development and Nature-based Solutions



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# **IKI Country Call for Peru: Funding Priorities**



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# IKI COUNTRY CALL FOR PERU: FUNDING PRIORITIES

- Integrated NDC project: institutionalisation and implementation of joint adaptation and mitigation measures at the regional and local level in the water and transport/energy sector



- Strengthening biodiversity management by promoting the integrated implementation of the Rio Conventions through nature-based solutions



The project duration is between five and seven years.

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**Overview Peru's  
Climate Policy and  
NDC Integrated  
funding priority**



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# OVERVIEW PERU'S CLIMATE POLICY

**Framework Law  
on Climate  
Change and its  
Bylaw**

**Nationally  
Determined  
Contributions  
(NDC)**

**National  
Strategy on  
Climate Change**

**National  
Adaptation  
Plan**

**Climate Finance  
Strategy**

**Intersectoral**

(Ministries and subordinated authorities)

**Multi-level**

(National, regional, local)

**Multi-stakeholders**

(Indigenous people, private sector, woman, among others)



**IKI Country Call for Peru**



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# FUNDING PRIORITY: INTEGRATED NDC PROJECT

***Objective: This priority seeks to contribute to the implementation of adaptation and mitigation NDC measures, including a territorial approach and the inclusion and participation of the three levels of government, the private sector and civil society.***

## INTERVENTION STRATEGY:

**NDCs implementation**

**Multi Stakeholder  
Participation**

- Support NDC implementation at the national level.
- Promotion of a territorial definition of adaptation and mitigation measures already identified and the possible inclusion of new ones.
- Technical assistance for the inclusion of climate measures regional and local level planning.
- Seek the interaction of adaptation and mitigation measures in the same territorial area to maximize their benefits and impacts.
- Participation of state actors (national and sub-national governments) and non-state actors (indigenous people, private sector, academia, international cooperation, among others).



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# FUNDING PRIORITY: INTEGRATED NDC PROJECT

## INTERVENTION STRATEGY:

**Participation of the private sector**

**Cross-cutting approaches incorporation**

**Post COVID-19 context**

- Private sector engagement in NDC implementation, including the design of financial instruments that could catalyze their intervention with the greatest impact; and what measures could be of interest to improve them with innovative financial instruments.
- The Climate Change Law and its Bylaw requires the inclusion of the cross-cutting issues such as gender, intercultural and intergenerational approaches in mitigation and adaptation measures to climate change at all levels of government, guaranteeing their implementation.
- We must understand the current situation as an opportunity to ambitiously integrate the environmental sustainability agenda as a fundamental element of our development strategies, promoting carbon neutrality, circular economy, resilience and Nature-based Solutions (SbN).



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# FUNDING PRIORITY: INTEGRATED NDC PROJECT

## COMPONENTS

- The first component of the project should combine measures into packages of ambitious adaptation and mitigation measures and should be directed at specific regions or localities. The measures should also promote social and economic co-benefits and links to local and regional development plans as well as sectoral targets, promoting private investment. Participation by civil society and the private sector is key and should be accompanied by a gender sensitive approach.
- The second component of the project should develop and implement specific integrated packages of adaptation and mitigation measures in the water and transport/energy sectors.

## PACKAGES

- Water management, supply and sanitation
- Energy and transport

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# **Overview Peru's Biodiversity Policy and Biodiversity funding priority**



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# OVERVIEW PERU'S BIODIVERSITY POLICY

Improve the status of biodiversity and maintain the integrity of the ecosystem services it provides

Increase the contribution of biodiversity to national development

Reduce direct and indirect pressures on biological diversity and its ecosystem processes

Strengthen the capacities of the three levels of government for the sustainable management of biodiversity

Improve knowledge and technologies for the sustainable use of biodiversity and the revaluation of traditional knowledge

Strengthen the cooperation and participation of all sectors of the population for the governance of biological diversity

**Intersectoral**

(Ministries and subordinated authorities)

**Multi-level**

(National, regional, local)

**Multi-stakeholders**

(Indigenous people, private sector, woman, among others)



**IKI Country Call for Peru**



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# NATURAL CAPITAL IMPORTANCE

- Peru's natural capital contributes with >15% to GDP (gross domestic product); five times more than rich countries.
- More than 2.2 million rural families depend on goods and services of natural ecosystems.
- The projects should develop pilots for the sustainable management of biodiversity with native and campesino communities and other local actors, including capacity building as well as ecosystems recovery and conservation activities;
- Likewise, develop sustainable business models with a productive focus on biological diversity (forests, wetlands, natural grasslands, coastal ecosystems) promoting eco / bio-businesses with non-timber products and low carbon emissions.





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# FUNDING PRIORITY: BIODIVERSITY

## INTERVENTION STRATEGY:

- Interventions should be **focused on the territory**, specially with local communities.
- We need to **develop pilots**: models or examples of successful experiences, economically, environmentally and socially sustainable, culturally pertinent, inclusive (with fair distribution of benefits).
- Focus on **indigenous and campesino communities**, most of which strongly depend on healthy ecosystems for their survival, food security and prosperity.





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# FUNDING PRIORITY: BIODIVERSITY

## INTERVENTION STRATEGY:

- Promote sustainable, participatory and adaptive management with local communities of ecosystems and natural resources, including forests, grasslands, wetlands among others, as well as in agroecosystems, benefiting local communities livelihoods.
- Have an integrated approach, that jointly addresses problems related to climate change, loss and degradation of ecosystems, poverty, food security, vulnerability to climatic change and pandemics, and reduction of risk of extinction of endangered species
- Aligned with biodiversity goals (Aichi and next Kunming Targets) and the Sustainable Development Goals (SDGs).



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# FUNDING PRIORITY: BIODIVERSITY

## INTERVENTION STRATEGY:

- Strengthen governance at all levels, specially at the community and other local actors level.
- Promote connectivity and functionality in and among Natural Protected Areas and other effective area-based conservation measures (OECMs).
- Promote bio-enterprises and value chains based on Amazon and Andean resources and harvested from standing or cultivated forests using traditional ancestral methods and practices.
- Promote traceability and other mechanisms to guarantee sustainability of agriculture, forest and hydrobiological products: deforestation free and environmental standards, fair trade, etc.



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# FUNDING PRIORITY: BIODIVERSITY

## Proposed Activities (examples)

- Recovering and conservation of agrobiodiversity, revaluing ancestral good practices in the management and administration of native genetic resources and their wild relatives, and the agroecosystems that host them.
- Pilot experiences that demonstrate that the participatory co-management with communities of standing forest resources is viable and economically, environmentally and socially sustainable.
- Pilot experiences to recover and enhance productivity of natural grasslands, 'bofedales' (Andean peatlands) and other Andean ecosystems, that benefit high Andean populations livelihoods and addresses climatic change challenges.
- Recovery of water sowing and harvesting ancestral traditional practices.
- Co-management of fisheries with communities and other local groups.



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A photograph of a man with glasses speaking into a microphone. He is wearing a white polo shirt with a logo. In the background, another man is visible, looking down. The scene is set in a well-lit room, likely a seminar or conference.

# Q&A SESSION

# Information and communication

## Important links and contacts

- For more detailed information, see **funding information** on the **IKI-website** ([www.international-climate-initiative.com/en/project-funding](http://www.international-climate-initiative.com/en/project-funding))
- For further questions please view the **FAQ section** on the IKI-website or send an E-mail to [iki-secretariat@z-u-g.org](mailto:iki-secretariat@z-u-g.org)
- Submission of outlines **only** via **IKI-Online Platform** until **07 April 2021, 23:59 (CEST)**

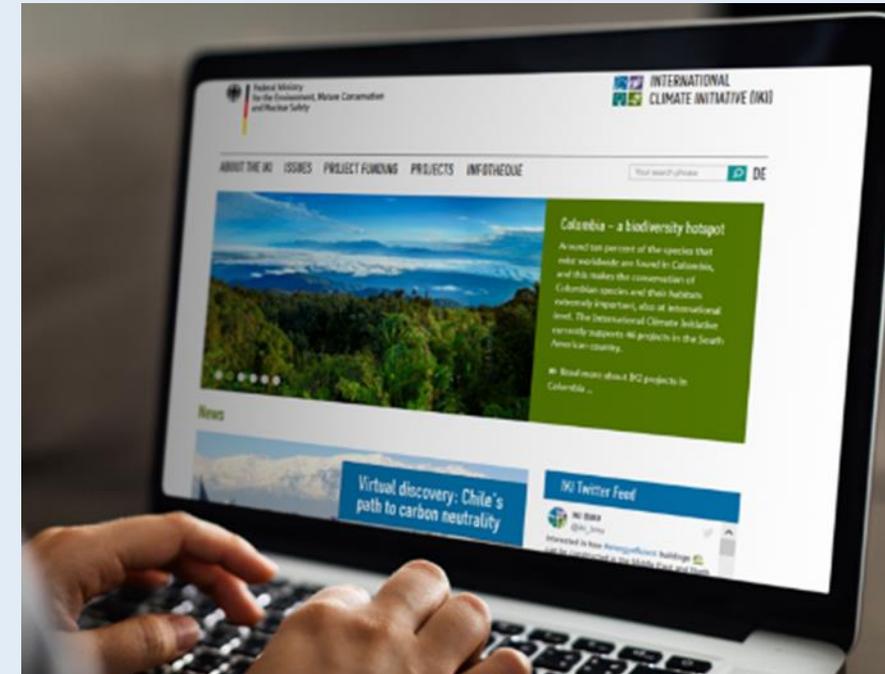
## Contact us!



iki\_bmu



iki-secretariat@z-u-g.org



# THANK YOU FOR YOUR ATTENTION

Did the online seminar improve your understanding of the IKI and the country specific selection procedure Peru?

Please let us know by taking part in our little survey in the pop up window.  
Thanks!



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**BACK UP SLIDES FOR Q&A**



# Exclusion criteria

1. The project outline was not submitted by the deadline and/or not submitted via the IKI online platform.
2. The documentation submitted was incomplete.
3. The funding period is less than five years or exceeds seven years.
4. The funding volume is too high or low.
5. The project implementation has already begun before submitting the outline.
6. The project is to be implemented in a country other than Peru.
7. The project content is not consistent with the selected funding priority.
8. The project includes funding for excluded services.
9. There is no need for funding.
10. The lead implementing organisation does not hold the appropriate legal status.
11. The lead implementing organisation does not meet the turnover criteria.
12. The lead implementing organisation cannot be funded on an expenditure basis.
13. The project outline does not include plans to apply as a consortium, i.e. at least two organisations

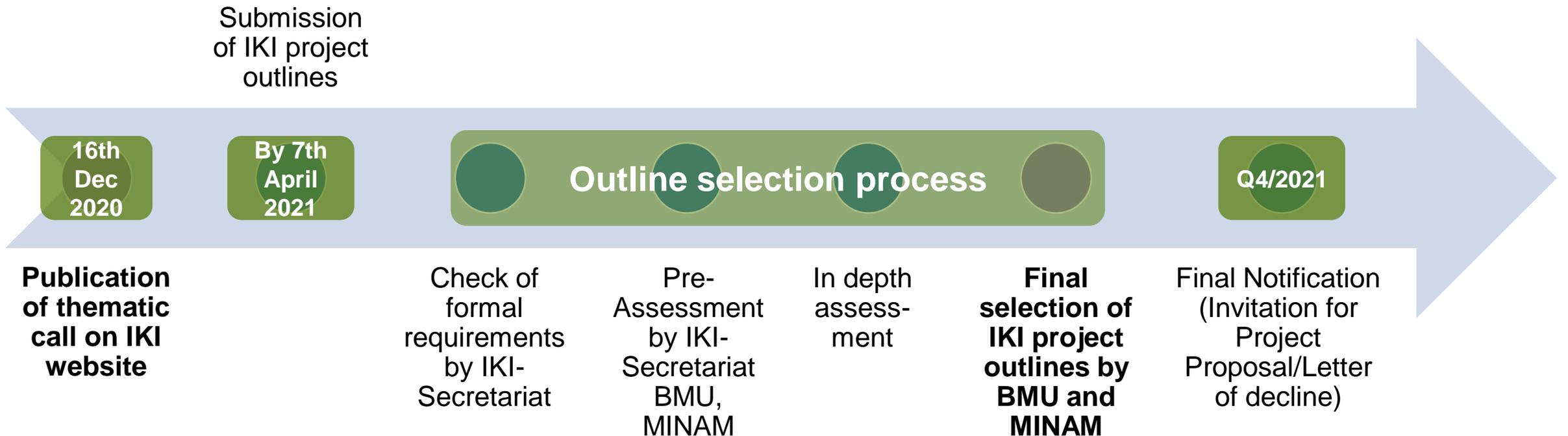
# Assessment criteria I

1. Relevant contribution to the implementation of **NDCs/NBSAPs**.
2. Project ties in with specific underlying **political and legal conditions and policies** in the region/country.
3. Project follows the **Agenda 2030**'s comprehensive approach and takes into account all applicable **SDGs**.
4. **Geographical** approach (regional/bilateral/global) is clearly justified.
5. Country's **government generally supports** the planned project activities.
6. Project ties in with the **existing local project/donor landscape**.
7. Necessary **technical, administrative and management skills** to implement and coordinate the planned project activities.
8. **Thematic relevant experience** in implementing projects in ODA countries by the lead implementing organisation
9. **Experience in the chosen target region** by the lead implementing organisation
10. **Access to the relevant stakeholders** in the cooperation country directly or via consortium partners
11. **Roles and tasks** within the consortium are clearly and appropriately assigned
12. **Budget allocation** between the implementing organisations is documented and appropriate

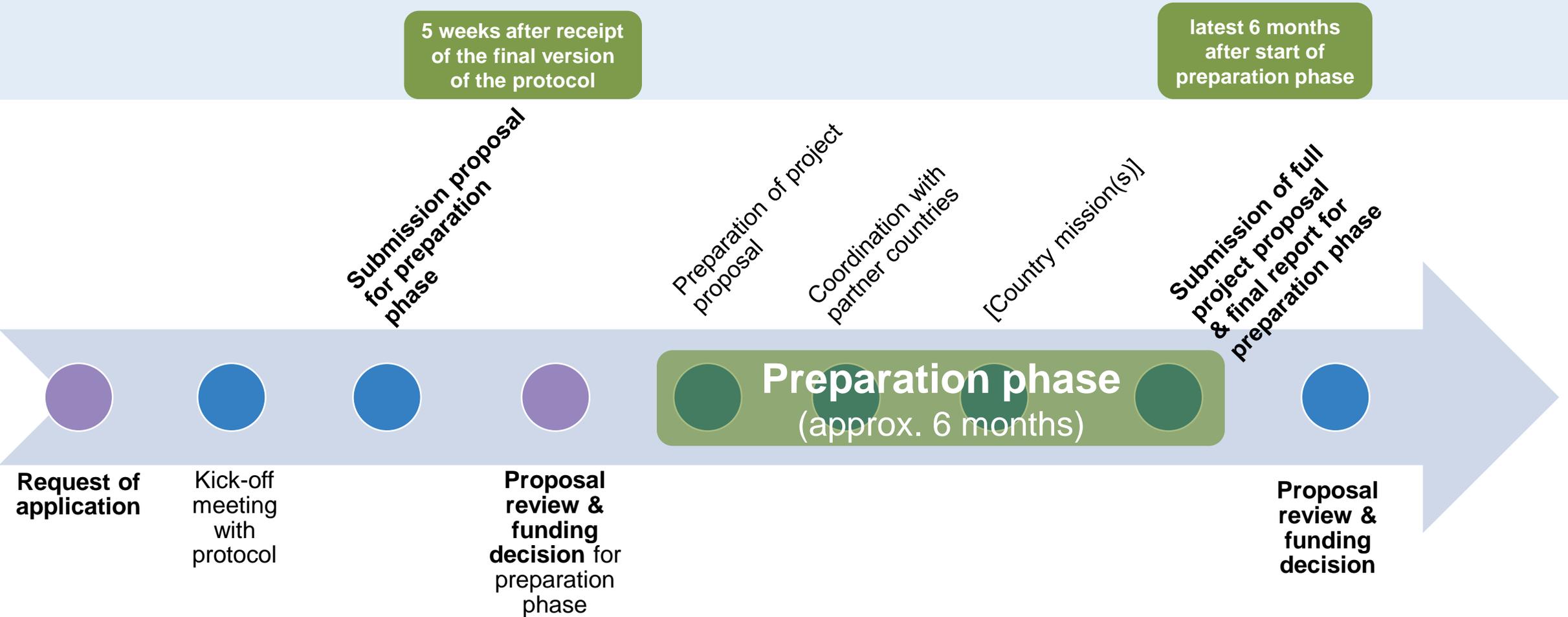
# Assessment criteria II

13. The project outline demonstrates the quality of its problem-solving approach by applying **OECD impact logic**.
14. **Ambitious goals** and designed to produce measurable results.
15. Possibility of **transformative change**..
16. New, **innovative** solution for a specific region
17. Details on how project activities contribute to ensuring that funded activities and outcomes continue or are maintained once IKI funding ends (**exit strategy**).
18. Possible risks, **safeguarding measures** and internal organisational safeguard mechanisms are presented
19. Awareness of the **dynamics of disadvantage** at play
20. **Replication** in other countries/regions and/or in other sectors possible
21. Description of **knowledge transfer** to relevant target groups
22. Clear and appropriate financial contributions of the implementing organisations themselves as well as the amount of additional funding resources (**co-financing**)
23. If possible, 50% of funding should be implemented by **national actors** in cooperation countries
24. Contribution to **mobilising private sector funding**

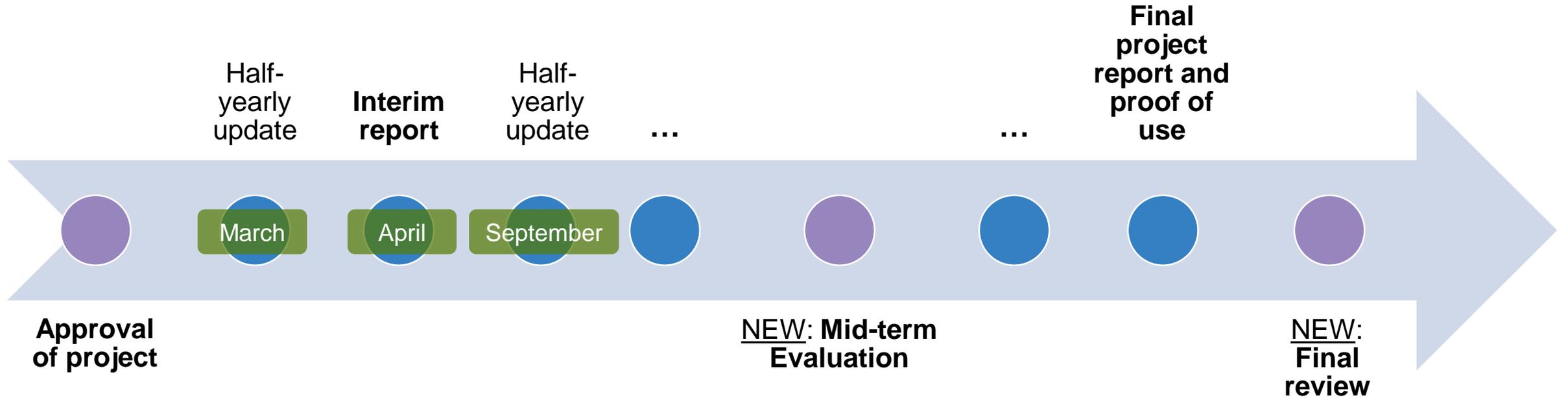
# Selection procedure – 1st stage



# Selection procedure – 2nd stage



# IKI Project Cycle



The reporting templates can be found on the IKI website titled: [Information for Recipients of Project Funding](#)

# Impact evaluation

- Accompanying **impact evaluation** planned for evidence on impact-level **for selected projects**
- Project pre-selected based on, among others, political relevance, ambition, scaling potential
- Benefits: provides impact-level insights, options for scaling and additional visibility
- Scientifically rigorous evaluation approach to be developed in collaboration with project consortium
- Managed by ZUG M&E unit with separate funding, but commitment by consortium & partners is crucial

